1046



CERTIFICATE OF MAILING 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

September 23, 2004

Date

Shelley P.M. Fussey

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Liu, McDonald, Bonnert, Ng, Kolakowski, Clark and Bonner

Serial No.: 09/601,582

Filed: December 04, 2000

For: Novel GABA_B Receptor DNA Sequences

Group Art Unit: 1646

Examiner: Kaufman, Claire M.

Atty. Dkt. No.: 4003.005400

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record in the present case. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

A Final Official Action has been received in the present application. However, this

Supplemental Information Disclosure Statement is being submitted in an abundance of caution

and should be enterable under 37 C.F.R. § 1.97(d) in light of the following information. In the

alternative, for completeness and to most fully comply with the duty of disclosure, the enclosed

document should be placed in the file in accordance with 37 C.F.R. § 1.97(i).

In accordance with 37 C.F.R. § 1.97(e)(1), Applicants hereby certify that each item of

information contained in this Supplemental Information Disclosure Statement was cited in a

communication from a foreign patent office in a counterpart foreign application not more than

three months prior to the filing of the present statement. This is evidenced by the August 16,

2004 date of the enclosed European search report, which is less than three months ago.

Certain documents listed on the European search report are already of record in this

application and additional copies are not listed or enclosed herewith. The European search

report dated August 16, 2004 lists the same and certain additional references to the European

search report dated March 05, 2004, a copy of which is attached hereto.

Should any fees under 37 C.F.R. §§ 1.97(d) and 1.17(p) be deemed necessary for any

reason relating to this Supplemental Information Disclosure Statement, the Examiner should

deduct said fees from Williams, Morgan & Amerson Deposit Account No. 50-

0786/4003.005400.

Respectfully submitted, Williams, Morgan & Amerson, P.C.

Customer No. 23720

Shelley P.M. Fussey, Ph.D.

Reg. No. 39,458

Agent for Applicants

10333 Richmond, Suite 1100 Houston, Texas, 77042

(713) 934-4079

Date: September 23, 2004

2

Form P	TO-144	9 (modified)	At	Atty. Docket No.				Serial No.			
					4003.005400				09/601,582		
List of Patents and Publications for Applicant's					Applicant Liu et al.						
<i>μ.</i> }					Filing Date:				Group:		
(Use several sheets if necessary) U.S. Patent Documents Foreign I					1				1646		
					Patent Documents See Page 1			Other Art			
								<u>_</u>	See Page 1		
-			II C Det		Dooumo	nto					
U.S. Pate					nt Documents			<u>-</u> . • • • • •	• • • • • • • • • • • • • • • • • • • •		
Exam. Init.	Ref.	Document Number	Date	ı	Name		ass	Sub Class	Filing Date of App.		
	Des.	Number						Class	 	App.	
		F	oreign P	aten	t Docum	nen	ts				
Exam.	Ref.	Document	Dat	Date		Country		s Su	ıp	Translation Yes/No	
Init.	Des.	Number						Cla	ISS		
	B1 WO 99/21890 May 06,		, 1999	999 PCT					·		
(Other	Art (Includi	ng Autho	r, Tit	le, Date	Pe	rtine	nt Pa	ges.	, Etc.)	
Exam. Init.	Ref. Des.	Citation									
	C1	EMBL Database, Accession No. z39716, November 04, 1994.									
	C2	EMBL Database, Accession No. AA324303, April 18, 1997.									
	C3	Grifa et al., "GABA (γ-Amino-Butyric Acid) Neurotransmission: Identification and Fine Mapping of the Human GABA _B Receptor Gene," Biochem. Biophys. Res. Comm., 250:240-245, 1998.									
	C4	Lupas, "Coiled Coils: New Structures and New Functions," TIBS, 21(10):375-382, 1996.									
	C5 Nakayasu <i>et al.</i> , "Immunoaffinity Purification and Characterization of γ-Aminobutyric Acid (GABA) _B Receptor From Bovine Cerebral Cortex," <i>J. Biol. Chem.</i> , 268(12):8658-8664, 1993.										
	C6	OMIM Database, Accession No. OMIM 603540, Rasody, February 16, 1999.									
-	C7	Supplemental Eu	ropean Search	Report	for EP 9990	5705.	2, mail	led Augus	t 16, 2	2004.	
	L	1								-	

Examiner:	DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.